

### PATENT APPLICATION

## INDEFFE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshihito ASAO, et al.

Appln. No. 09/769,408

Confirmation No.: 4699

Filed: January 26, 2001

For: AUTOMOTIVE ALTERNATOR

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Group Art Unit: 2834

Examiner: To be assigned

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Facsimile: (202) 293-7860

Date: July 30, 2001

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# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

However, since a statement can be made, a Statement is being filed so that in the event an Office action has issued but has not yet been received by the undersigned, the Examiner will proceed to consider this Information Disclosure Statement.

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No. 09/769,408

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a corresponding Communication from a Foreign Patent Office citing such documents, together with an Englishlanguage version (if not already included) of that portion of the Communication from a Foreign Patent Office indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Richard C. Turner

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